



'Disclosures to client' Policy

We, Midas Wealth Advisory Pvt. Ltd., a SEBI Registered Investment Adviser (INA000016223), hereby declare that we'll abide by the 'disclosures to client' policy mentioned in **regulation 18 of SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013**. It is mentioned below.

- (1) As an investment adviser, we shall disclose to a prospective client, all material information about our company including our business, disciplinary history, the terms and conditions on which we offer advisory services. Our Investment Advisor division doesn't have any affiliations with other intermediaries.
- (2) We shall disclose to our clients, any consideration by way of remuneration or compensation or in any other form whatsoever, received or receivable by us or any of our associates or subsidiaries for any distribution or execution services in respect of the products or securities for which the investment advice is provided to the client.
- (3) We shall, before recommending the services of a stock broker or other intermediary to a client, disclose any consideration by way of remuneration or compensation or in any other form whatsoever, if any, received or receivable by us, if the client desires to avail the services of such intermediary.
- (4) We shall disclose to the client our holding or position, if any, in the financial products or securities which are subject matter of advice.
- (5) We shall disclose to the client any actual or potential conflicts of interest arising from our connection to or association with any issuer of products/securities, including any material information or facts that might compromise our objectivity or independence in the carrying on of investment advisory services.
- (6) We shall, while making an investment advice, make adequate disclosure to the client of all material facts relating to the key features of the products or securities, particularly, performance track record.

- (7) We shall draw the client's attention to the warnings, disclaimers in documents, advertising materials relating to an investment product which we may be recommending to the client.

Our Disclosures

1. We are a SEBI Registered Investment Advisor company. Our advisory income comes through 'fee for service' model. Our Investment Advisory arm doesn't have any affiliations with other intermediaries and we don't receive any commission from any individual or a company. We've a clean disciplinary history.
2. We have a distribution arm that provides Mutual Fund Distribution services and other financial services. Our Investment Advisory arm maintains an arms-length relationship between our activities as an investment adviser and other activities the distribution arm may perform. This arm's length relationship shall be maintained throughout the tenure of advisory service.
3. We do not provide Investment Advisory services to a client who is receiving distribution services from the distribution arm of our company.
4. We receive only 'Investment Advisory fee' from our clients. We don't receive any commission from any individual or company.
5. We have detailed the terms and conditions of the services offered in the agreement with client.
6. If we suggest a particular security which we hold, we disclose our position to all the clients.