



GRIEVANCE REDRESSAL POLICY

In line with SEBI Circular No. CIR/MIRSD/3/2014 dated 28th August 2014, in case of any complaints/grievances against us, you may do the following:

- 1> Inform us about your grievance and allow us an opportunity to resolve it by contacting our Person Associated with Investment Advisory via email id or via phone call.

Contact Phone No – +91 93735 18181

Contact Email Id - investmentadviser@wealth4u.in

We will contact you on receiving the grievance and make our best effort to resolve your grievance to **your satisfaction** as soon as possible.

- 2> If the complaint is not responded or resolved within 7 days, please contact our Principal Officer

Contact Email Id - principalofficer@wealth4u.in

- 3> If the complaint is not responded or resolved within 15 days, please email to the company owner, Mr. Ramkumar Agrawal.

Contact Email Id - rkagrwal@wealth4u.in

- 4> If customer issue is not resolved in one month from the date of raising the complaint for the first time, customer can log his/her grievance on SEBI SCORES portal.

SCORES may be accessed through SCORES mobile application as well, same can be downloaded from below link:

<https://play.google.com/store/apps/details?id=com.ionicframework.sebi236330>

Our SCORES registration number – IADM0134

Link - <https://scores.sebi.gov.in/>

With regard to physical complaints, investors may send their complaints to:

Office of Investor Assistance and Education,
Securities and Exchange Board of India, SEBI Bhavan,
Plot No. C4-A, 'G' Block, Bandra-Kurla Complex,

Bandra (E), Mumbai - 400 051.

5> ODR (Online Dispute Resolution) Portal –

ODR Portal could be accessed, if unsatisfied with the response. Your attention is drawn to the SEBI circular no. [SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/131](#) dated July 31, 2023, on “Online Resolution of Disputes in the Indian Securities Market”.

A common Online Dispute Resolution Portal (“ODR Portal”) which harnesses conciliation and online arbitration for resolution of disputes arising in the Indian Securities Market has been established. ODR Portal can be accessed via the following link - <https://smartodr.in/>

